

MichaelPage

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VP Compliance- Global Investment Bank

Compliance VP, US Financial Service

募集職種

人材紹介会社

マイケル・ページ・インターナショナル・ジャパン株式会社

求人ID

1515929

業種

投資銀行

雇用形態

正社員

勤務地

東京都 23区

給与

1300万円 ~ 1900万円

更新日

2025年01月17日 18:26

応募必要条件

キャリアレベル

エグゼクティブ・経営幹部レベル

英語レベル

ビジネス会話レベル

日本語レベル

流暢

最終学歴

大学卒：学士号

現在のビザ

日本での就労許可が必要です

募集要項

This role requires a VP or similar seniority Legal & Compliance candidate with solid knowledge of Japan Equity Compliance, and a focus on Risk & Compliance within the financial services industry. The successful candidate will be a key contributor to the company, providing insightful guidance and strategic direction to navigate complex compliance issues.

Client Details

Our client is a leading player in the financial services industry, boasting a large organization size and a global presence. With a reputation for innovation and excellence, they are renowned for their commitment to delivering premium services to their clients while fostering a high-performance culture that values collaboration and diversity.

Description

- Cover the general Equity advisory Compliance, supporting and co-working with other members
- Provide compliance guidance to equity businesses with respect to the relevant compliance policies and procedures and relevant Japanese laws, rules and regulations, which are mainly related to their day to day businesses
- Escalate significant or unresolved issues to the Compliance managers and the business units when necessary
- Conduct regular compliance training for business unit professionals
- Help handling the regulatory and internal investigations/audits

- Assess potential risks associated with the coverage area and address to enhance internal control functions as well as collaborate with front line risk management team
- Assist the Compliance review to approve New Products
- Keep abreast of new regulations and communicate such updates to affected business areas to ensure that the firm has appropriate controls in place

Job Offer

- An attractive salary package approximately or above JPY 15,000,000.
- Comprehensive benefits package.
- Opportunity to work in the heart of Tokyo.
- A high-performance culture that values collaboration and diversity.
- Opportunity to work in a leading organization within the financial services industry.

This is a great opportunity to join a leading player in the financial services industry and play a strategic role in the Risk & Compliance department.

To apply online please click the 'Apply' button below. For a confidential discussion about this role please contact Saki Kanematsu at +81 3 6832 8904.

スキル・資格

- Full professional proficiency in Japanese and English (written and verbal) required
- JSDA Type-1 Registered Rep (1-shu Shoken Gaimuin) qualification and Internal Control Officer (Naibu Kanri Sekininsha) qualification.
- Work experience (5+ years) in the business unit or control function related to equity business or structured product business
- Knowledge of business and regulations around the structured products and OTC derivatives including equity products
- Good team player with the ability to build smooth working relationships
- Good interpersonal skills and ability to work effectively with colleagues and business units
- Strong analytical skills and detail-oriented approach with the ability to detect and escalate issues
- Highly-motivated self-starter able to work independently while collaborating and coordinating as part of a global program;
- Ability to handle multiple tasks simultaneously and work under tight deadlines
- Strong work ethic

会社説明

A leading global financial services firm headquartered in US. Operating in over 40 countries, it provides investment banking, securities, wealth management, and investment management services. Known for advising on major mergers, IPOs, and corporate strategies, Morgan Stanley caters to corporations, governments, and high-net-worth individuals.

The firm excels in innovation, risk management, and sustainability, with a strong focus on ESG initiatives. With a prominent presence in Asia, including Japan and China, Morgan Stanley plays a pivotal role in shaping global finance through expertise, innovation, and client-centric solutions.