

Compliance Lead [Investment Management]

Opportunity to have a significant impact

Job Information

Recruiter

Robert Half Japan Ltd.

Job ID

1499422

Industry

Other (Banking and Financial Services)

Job Type

Permanent Full-time

Location

Tokyo - 23 Wards

Salary

15 million yen ~ 20 million yen

Refreshed

October 31st, 2024 00:00

General Requirements

Minimum Experience Level

Over 6 years

Career Level

Mid Career

Minimum English Level

Fluent

Minimum Japanese Level

Native

Minimum Education Level

Bachelor's Degree

Visa Status

Permission to work in Japan required

Job Description

Company Profile

- A renowned fintech leader and disruptor in the direct-to-consumer space is now launching a new investment platform in Japan.

The Role

- In light of the launch of a new investment product, a global fintech player is now hiring a person to lead the go-to-market efforts.
- Your primary objective will be to lead the end-to-end process of acquiring a new financial license from the FSA, by developing and managing relationships with relevant government bodies and regulators in Japan
- Subsequently act as de facto CEO; leading the launch and go-to-market of the investment platform
- Develop partnerships with financial institutions (securities, banks and asset managers) and negotiate commercial terms
- Build out a team across different disciplines to maintain robust operational and governance as well as competitive

product features

- Work cross-functionally i.e. compliance, GTM and product teams across the EU and Asia

Your Skills

- Japanese (Native) / English (Fluent level)
- 5+ years in a regulatory or compliance role, experience dealing with regulators in Japan directly
- Experience in the investment field or closely related i.e. Asset/Investment Management, Wealth Management, Retail Brokerage
- Superb analytical skills to quickly understand the regulatory environment and market a new product
- Strong relationship management to foster trusted relationships with regulators and financial institutions
- Being able to make balanced commercial and risk-based decisions
- Needs to be OK to work somewhat independently in Japan with a 'roll up your sleeves' mentality

Location

Tokyo

Salary

15 - 20 million yen

Selling points

- A multifaceted role, highly visible with an opportunity to have a significant impact
- Work for one of the most reputable fintech players, known for disrupting legacy banking services
- Highly international environment

Reference Number: 06940-0013091370

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Company Description

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