



Compliance Manager/コンプライアンスマネージャ-

Hybrid WFH & competitive salary

Job Information

Recruiter

Ascent Global Partners K.K.

Hiring Company

International Asset Management Company

Job ID

1476686

Industry

Asset Management

Company Type

Small/Medium Company (300 employees or less) - International Company

Non-Japanese Ratio

About half Japanese

Job Type

Permanent Full-time

Location

Tokyo - 23 Wards, Chuo-ku

Salary

12 million yen ~ 17 million yen

Refreshed

June 23rd, 2024 00:00

General Requirements

Minimum Experience Level

Over 6 years

Career Level

Mid Career

Minimum English Level

Business Level (Amount Used: English usage about 50%)

Minimum Japanese Level

Native

Minimum Education Level

Bachelor's Degree

Visa Status

Permission to work in Japan required

Job Description

アセットマネジメント会社がコンプライアンス・オフィサーを募集しています。

Responsibilities:

- Assist in developing and implementing compliance policies and procedures.
- Conduct compliance reviews and risk assessments to ensure adherence to regulatory requirements.

- Monitor and evaluate compliance with internal policies and external regulations.
 - Provide compliance advice and support to various business units within the organization.
 - Investigate and resolve compliance issues and discrepancies.
 - Keep abreast of regulatory changes and industry developments to ensure compliance practices remain current.
 - Collaborate with the existing compliance team to ensure consistent and effective compliance practices across the organization.
 - Assist in conducting compliance training and education programs for staff members.
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Required Skills

Qualifications:

- Bachelor's degree in Finance, Law, Business Administration, or related field.
 - Minimum of 6 years of experience in a compliance role within the financial services industry.
 - Proficiency in both Japanese and English languages.
 - Strong understanding of regulatory requirements and compliance best practices.
 - Excellent analytical and problem-solving skills.
 - Ability to work independently and collaboratively within a team.
 - Strong communication and interpersonal skills.
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Company Description