



# Compliance Manager/コンプライアンスマネージャ-

### **Hybrid WFH & competitive salary**

#### Job Information

#### Recruiter

Ascent Global Partners K.K.

#### **Hiring Company**

International Asset Management Company

#### Job ID

1476686

#### Industry

Asset Management

#### **Company Type**

Small/Medium Company (300 employees or less) - International Company

#### Non-Japanese Ratio

About half Japanese

#### Job Type

Permanent Full-time

#### Location

Tokyo - 23 Wards, Chuo-ku

### Salary

12 million yen ~ 17 million yen

### Refreshed

July 21st, 2024 00:00

### General Requirements

### Minimum Experience Level

Over 6 years

### **Career Level**

Mid Career

### Minimum English Level

Business Level (Amount Used: English usage about 50%)

### Minimum Japanese Level

Native

## Minimum Education Level

Bachelor's Degree

### Visa Status

Permission to work in Japan required

# Job Description

アセットマネジメント会社がコンプライアンス・オフィサーを募集しています。

### Responsibilities:

- Assist in developing and implementing compliance policies and procedures.
- · Conduct compliance reviews and risk assessments to ensure adherence to regulatory requirements.

- Monitor and evaluate compliance with internal policies and external regulations.
- · Provide compliance advice and support to various business units within the organization.
- Investigate and resolve compliance issues and discrepancies.
- Keep abreast of regulatory changes and industry developments to ensure compliance practices remain current.
- Collaborate with the existing compliance team to ensure consistent and effective compliance practices across the organization.
- · Assist in conducting compliance training and education programs for staff members.

### Required Skills

### Qualifications:

- Bachelor's degree in Finance, Law, Business Administration, or related field.
- . Minimum of 6 years of experience in a compliance role within the financial services industry.
- · Proficiency in both Japanese and English languages.
- Strong understanding of regulatory requirements and compliance best practices.
- · Excellent analytical and problem-solving skills.
- Ability to work independently and collaboratively within a team.
- · Strong communication and interpersonal skills.

Company Description